



ALABAMA BANKERS ASSOCIATION

BSA: What to Plan For & Where to Focus

Virtual Live Event Via Zoom

Member Fee: \$395

Non Member Fee: \$595

December 7, 2023

Business Session Starts: 8:30 am

Lunch Break 11:30-12:30 pm

Business Session Ends: 3:00 pm

Managing effective dates and timelines that change in an instant, updating policies and procedures to reflect new requirements, pushing information out to staff members who need it, monitoring account holder transactions for anything "suspicious" and staying informed of the next change, advisory or piece of guidance to be issued are just some of the many duties of a BSA/AML officer. Each day you work to manage and implement the many facets of BSA/AML regulation, review pages guidance, and scan through mountains of monitoring reports – all to protect your financial institution from violations. Since you often wear many hats, it's easy for a monthly review or that annual report to slip through the cracks.

In this session we will discuss the issues financial institutions have recently been cited for and what issues "real life" BSA Officers are experiencing in their most recent exam, so you know where to focus your time and efforts. We will also discuss the importance of communication between the BSA Officer and the Board in establishing a culture of compliance and meeting examiner expectations for directors.

Who Should Attend?

This informative session is designed for BSA/AML Officers, Compliance Officers and Senior Management.

Speaker: Dawn Kincaid - Brode Consulting Services, Inc.

For more information, contact Elizabeth Bailey, vice president of professional development at (251) 510-3593 or email ebailey@alabama.bank

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