



ABA

Alabama Bankers Association, Inc.

Live Seminar

Internal Audit: THINK TANK

August 18 & 19, 2021

Registration: Day 1 8:00 AM
Business Session: Day 1 & 2 **8:30 AM – 3:00 PM (tentative time agenda - TBD)**
[Renaissance Ross Bridge Hotel](#) - 4000 Grand Avenue, Birmingham, AL 35226

The ABA Internal Audit Think Tank is designed to focus on practical application of effective risk management and internal audit strategies. The training will cover the following elements and include discussion of best practices for an efficient and effective internal audit function.

Day 1 – Internal Audit Engagement Planning, Execution, and Best Practices

- Scheduling Strategy for Appropriate Internal Audit Coverage
- Risk Assessment Formulation including Important Focus Areas
- Linkage of Risks to Internal Audit Program Development
- Fraud Considerations
- Audit Committee Involvement
- Work Scope/Internal Audit Program Generation
- Internal Audit Fieldwork Execution – How to effectively perform the internal audit?
- Internal Audit Best Practices
- Risk Management Software Considerations

Day 2 – Federal Deposit Insurance Corporation Improvement Act (FDICIA) Requirements and Preparation, Internal Audit Common Issues, Effective Communication of Findings/Results, Appropriate Follow-up

- FDICIA - What are the requirements?
- FDICIA – How should an institution start the preparation process?
- Common Internal Audit Issues – How to avoid them?
- Effective Employee Statement Review
- Communication of Internal Audit Findings/Results
- Audit Committee Engagement
- Tracking Internal Audit Results
- Strategies for Tracking Findings and Appropriate Follow-up

PRESENTED For ABA by: Carr, Rigs & Ingram, LLC - Chris Cain

WHO SHOULD ATTEND: Chief risk officers, internal auditors, audit committee members and members of the bank that are involved in the internal audit or risk management functions should make plans to attend this pertinent course.

FEES:

Members: \$745
Non-Members: \$1195

QUESTIONS? EMAIL Debbie Pharr at dpharr@alabama.bank

By registering for this seminar, you give your permission to be photographed and for those photographs to be used by the association.

ABA Cancellation Policy: A processing fee of \$100 applies to all cancellations. We will gladly refund 100 percent of your registration fee (minus \$100 processing fee) if notice is given by 12:00 noon, 10 business days before an event.

After 10 business days, no money can be refunded, credited or transferred, although substitution of a participant is welcomed.

Schools and sessions 2 days or more, cancellation processing fee ~ \$200 or more TBD.

Late Registration: Please note, there will be a \$50 late registration fee for all attendees that register later than 10 business days before the seminar.

Non-ABA Members: Prepayment is required for all non-member attendees for any event.

Early Bird Rates: When applicable, to qualify for the early bird rate, full payment MUST be received by advertised date. Otherwise, additional billing for the regular rate will be necessary.

Note: If registrant has received any manual/handouts prior to cancellation, no money can be refunded, credited or transferred.