



ABA

Alabama Bankers Association, Inc.

Live Seminar

FinTech, RegTech, Social Media, and Risk Management: What Compliance Officers and BSA Officers Should Know

July 20, 2021

Registration: 8:00 AM

Business Session: **8:30 AM – 3:00 PM**

[Birmingham Marriott](#) – 3590 Grandview Parkway

Join us to learn and discuss how FinTech, RegTech, and Social Media can be used to advance your bank's strategic initiatives, while staying within regulatory expectations for third party risk management. Kristen is a compliance professional with a business development perspective who helps her financial institution clients (CEOs and compliance officers) think outside the box and stay out of trouble.

Morning Session

Fintech/Regtech: Current Issues & Opportunities in Financial Services and Fraud Detection

This session will open your eyes to the changing nature of data, and the various ways that innovators are trying to disrupt the financial services industry. Kristen will highlight the risks and ethical issues involved in "Fintech" and "Regtech" and what the industry, fraud and compliance professionals, and the regulatory agencies are doing or should do to mitigate those risks while supporting the US global innovation initiative. The session will also discuss regulatory expectations for managing third party risk and provide a vendor management case study to help prepare for the time when a Fintech opportunity comes knocking on your bank's door.

Afternoon Session:

Social Media: How and Why Community Banks are participating IN social media, and staying OUT of trouble.

It's not just about having a Facebook page, and it's certainly not about advertising. Kristen will explain the differences among social media platforms and present a case for including social media in your Bank's strategic planning. She will address how to get started, how to maintain and evaluate your efforts, and, of course, how to address compliance concerns. Examples of community banks that are totally engaged as well as questions and discussion are encouraged.

PRESENTED For ABA by: Saltmarsh, Cleveland & Gund - Kristen Stogniew, Esq, CFE

WHO SHOULD ATTEND: Financial institution strategic influencers (CEOs, Directors, CMOs) and risk management/control personnel (Compliance Officers, Chief Risk Officers, Auditors)

FEES:

Members: \$395

Non-Members: \$595

QUESTIONS? EMAIL [Debbie Pharr at dpharr@alabama.bank](mailto:dpharr@alabama.bank)

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ABA Cancellation Policy: A processing fee of **\$100** applies to all cancellations. We will gladly refund 100 percent of your registration fee (minus \$100 processing fee) if notice is given by 12:00 noon, 10 business days before an event.

After 10 business days, no money can be refunded, credited or transferred, although substitution of a participant is welcomed.

Schools and sessions 2 days or more, cancellation processing fee ~ \$200 or more TBD.

Late Registration: Please note, there will be a \$50 late registration fee for all attendees that register later than 10 business days before the seminar.

Non-ABA Members: Prepayment is required for all non-member attendees for any event.

Early Bird Rates: When applicable, to qualify for the early bird rate, full payment **MUST** be received by advertised date. Otherwise, additional billing for the regular rate will be necessary.

Note: If registrant has received any manual/handouts prior to cancellation, no money can be refunded, credited or transferred.